

**DECLARATION**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **CONTRACEPTIVE TRANSCERVICAL FALLOPIAN TUBE OCCLUSION DEVICES AND METHODS** the specification of which      is attached hereto or X was filed on June 1, 1999 as Application No. 09/324,078 and was amended on                      (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Pri r Foreign Application(s)**

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:



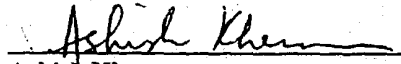
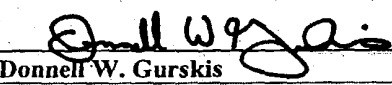
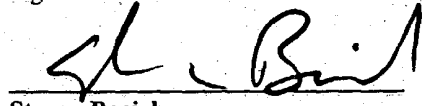
Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
PCT/US98/20031	9/23/98	Pending
09/093,835	6/8/98	Pending
60/059,861	9/24/97	Abandoned

Full Name of Inventor 1:	Last Name: <b>NIKOLCHEV</b>	First Name: <b>JULIAN</b>	Middle Name or Initial: <b>N.</b>	
Residence & Citizenship:	City: <b>Portola Valley</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>U.S.A.</b>	
Post Office Address:	Post Office Address: <b>251 Durazno Way</b>	City: <b>Portola Valley</b>	State/Country: <b>California</b>	Postal Code: <b>94028</b>
Full Name of Inventor 2:	Last Name: <b>TON</b>	First Name: <b>DAI</b>	Middle Name or Initial: <b>T.</b>	
Residence & Citizenship:	City: <b>San Jose</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>U.S.A.</b>	
Post Office Address:	Post Office Address: <b>1693 Flickinger Avenue</b>	City: <b>San Jose</b>	State/Country: <b>California</b>	Postal Code: <b>95131</b>
Full Name of Inventor 3:	Last Name: <b>KHERA</b>	First Name: <b>ASHISH</b>	Middle Name or Initial:	
Residence & Citizenship:	City: <b>San Francisco</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>U.S.A.</b>	
Post Office Address:	Post Office Address: <b>1630 Sanchez Street, #3</b>	City: <b>San Francisco</b>	State/Country: <b>California</b>	Postal Code: <b>94131</b>
Full Name of Inventor 4:	Last Name: <b>GURSKIS</b>	First Name: <b>DONNELL</b>	Middle Name or Initial: <b>W.</b>	
Residence & Citizenship:	City: <b>Pleasanton</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>U.S.A.</b>	
Post Office Address:	Post Office Address: <b>3251 Anastacia Court</b>	City: <b>Pleasanton</b>	State/Country: <b>California</b>	Postal Code: <b>94588</b>
Full Name of Inventor 5:	Last Name: <b>BACICH</b>	First Name: <b>STEVEN</b>	Middle Name or Initial:	
Residence & Citizenship:	City: <b>Half Moon Bay</b>	State/Foreign Country: <b>California</b>	Country of Citizenship: <b>U.S.A.</b>	
Post Office Address:	Post Office Address: <b>20 Fairway Place</b>	City: <b>Half Moon Bay</b>	State/Country: <b>California</b>	Postal Code: <b>94019</b>

further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  <u>Julian K. Nikolchev</u> Date: <u>7-28-99</u>	Signature of Inventor 2  <u>Dai T. Ton</u> Date: <u>7/28/99</u>	Signature of Inventor 3  <u>Ashish Kherra</u> Date: <u>7-28-99</u>
Signature of Inventor 4  <u>Donnell W. Gurskis</u> Date: <u>7-28-99</u>	Signature of Inventor 5  <u>Steven Bacich</u> Date: <u>7/28/99</u>	

PA 3000105 v1

**POWER OF ATTORNEY BY ASSIGNEE**

**Conceptus, Inc.** is the Assignee of the invention entitled: **Contraceptive Transcervical Fallopian Tube Occlusion Devices and Methods**, the specification of which     is attached hereto or X was filed on **June 1, 1999** as Application Serial No. **09/324,078**.

Assignee hereby appoints the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

**Mark D. Barrish, Reg. No. 36,443**  
James M. Heslin, Reg. No. 29,541  
Gary T. Aka, Reg. No. 29,038  
Robert C. Colwell, Reg. No. 27,431  
Paul C. Haughey, Reg. No. 31,836  
David N. Slone, Reg. No. 28,572  
William M. Smith, Reg. No. 30,223  
James F. Hann, Reg. No. 29,719  
Darin J. Gibby, Reg. No. 38,464  
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Reg. No. 36,443  
(650) 326-2400

**CONCEPTUS, INC.**

Date: 7/28/99

Signature: 

Name: Steven Bacich

Title: Vice President, Research and Development



PTAS  
TOWNSEND AND TOWNSEND AND CREW LLP  
MARK D. BARRISH  
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\*101205808A\*

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

REEL/FRAME: 010385/0180  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

DOC DATE: 07/28/1999

DOC DATE: 07/28/1999

DOC DATE: 07/28/1999

DOC DATE: 07/28/1999

ASSIGNEE:  
CONCEPTUS, INC.  
1021 HOWARD AVENUE  
SAN CARLOS, CALIFORNIA 94070

FILING DATE: 06/01/1999  
ISSUE DATE:

00 FEB 28 AM 9:35

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010385/0180 PAGE 2

SHIRLIE SIMON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

11-22-1999

Attorney Docket No. 16355-002530US

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Rev. 6-93)

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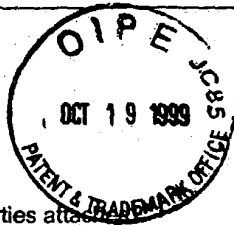
U.S. Department of Commerce  
Patent and Trademark Office

to the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

JULIAN K. NIKOLCHEV  
DAI T. TON  
ASHISH KHERA  
DONNEL W. GURSKIS

Additional name(s) of conveying parties attached:

☐ Yes ☒ No.

10-19-99

## 2. Name and address of receiving party(ies)

Name: CONCEPTUS, INC.

Internal Address: 1021 HOWARD AVENUE

Street Address:

City: SAN CARLOS State: CA ZIP: 94070

Additional names and addresses attached?

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: 7/28/99

## 4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/324,078

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

MARK D. BARRISH  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-02006. Total number of applications and patents involved 1

## 7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed ☒ Charge Fees to Deposit Account  
☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

## 8. Deposit account number: 20-1430

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

MARK D. BARRISH  
Name of Person Signing  
REG. NO. 36,443

Signature

15 OCTOBER 1999  
DateTotal number of pages including cover sheet, attachments and document 310. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

**ASSIGNMENT OF PATENT APPLICATION**

**JOINT**

WHEREAS, **Julian K. Nikolchev**, of 251 Durazno Way, Portola Valley, California, 94028, **Dai T. Ton**, of 1693 Flickinger Avenue, San Jose, California, 95131, **Ashish Khera**, of 1630 Sanchez Street, #3, San Francisco, California, 94131, **Donnell W. Gurskis**, of 3251 Anastacia Court, Pleasanton, California, 94588, and **Steven Bacich**, of 20 Fairway Place, Half Moon Bay, California, 94019, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **CONTRACEPTIVE TRANSCERVICAL FALLOPIAN  
TUBE OCCLUSION DEVICES AND METHODS**

Date(s) of execution  
of Declaration: **JULY 28, 1999**

Filing Date: **June 1, 1999**

Application No.: **09/324,078; and**

WHEREAS, **Conceptus, Inc.**, a corporation of Delaware, located at 1021 Howard Avenue, San Carlos, California, 94070, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request **Townsend and Townsend and Crew LLP**, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, California 94111-3834, to insert herein above the application number and filing date of said application when known.



Assignment

Attorney Docket No.: 16355-002530US

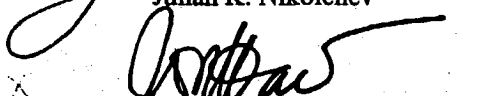
Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: X 7-28-99

X   
Julian K. Nikolchev

Dated: X 7-28-99

X   
Dai T. Ton

Dated: X 7-28-99

X   
Ashish Kherra

Dated: X 7-28-99

X   
Donnell W. Garskis

Dated: X 7/28/99

X   
Steven Bacich